

# 3+1 EBN SOLVING THE 3+1 EQNS: OVERVIEW

①

<u>3+1 VARIABLES</u>	<u>COORD-FREE</u>	<u>IN <math>\{t, x^i\}</math> C.S.</u>	<u>#</u>
(A) "KINEMATICAL":	$\alpha$ $\beta^a$	$\alpha$ $\beta^i$	1 3 4
(B) "DYNAMICAL":	$\gamma_{ab}$ $K^a_b$	$\gamma_{ij}$ $K^i_j$	6 6 12

## SCHEMATIC FOR SOLN of 3+1 EBN ("CONSTRUCTION of SPACETIME")

(1) DECIDE ON COORDINATE SYSTEM; I.E. HOW  $\alpha$ ,  $\beta^i$  ARE TO BE CHOSEN (ALSO SEE STEPS 2, 3) - WILL ASSUME THROUGHOUT THAT OUR SINGLE CHOICE WILL COVER ENTIRE S.T. (QUITE POSSIBLY UNREALISTIC FOR SOME [MANY?] PHYSICALLY INTERESTING SCENARIOS)

(2) CHOOSE  $t=0$  (INITIAL) SLICE  $\Sigma(0)$  - INCLUDING SPECIFICATION of TOPOLOGY of  $\Sigma(0)$  (I WILL, BY ASSUMPTION, HAVE TOPOLOGY  $\text{TOP}(\Sigma(0)) \times \mathbb{R}$ ), INITIAL SPATIAL COORDINATIZATION

(3) SPECIFY INITIAL DATA  $\{ \gamma_{ij}(x^k, 0), K^i_j(x^k, 0) \}$   
 $\{ \gamma_{ij}(x^k, 0), K^i_j(x^k, 0) \}$  SUBJECT TO CONSTRAINTS

$$R - K^2 + K^i_j K^j_i = 16\pi \rho$$

$$D_i K^{ij} - D^j K = S^i_j$$

AND, IF NECESSARY, COMPATIBLE WITH COORDINATE CHOICES  
( $\alpha, \beta^i$  PRESCRIPTIONS)

(A) EVOLVE DATA TO FUTURE (AND/OR PAST) LORIC

$$\overset{\circ}{g}_{ij} = \dots$$

+ E.O.M FOR MATTER FIELDS

$$\overset{\circ}{K}^i_j = \dots$$

AND POSSIBLY THE CONSTRAINT EQUATIONS TO  
GENERATE

$$\{ g_{ij}(x^k, t), K^i_j(x^k, t) \}$$

AND, IMPLICITLY,

$$\{ \alpha(x^k, t), \beta^i(x^k, t), S^i_j(x^k, t) \}$$

STAGE 3: THE INITIAL-VALUE PROBLEM FOR GR (IVP)

STAGE 4: THE EVOLUTION PROBLEM FOR GR (EP)

STAGES 1, 2 BEAR ON BOTH THE IVP & EP; ALSO,  
SOME OF 3+1 EGMS = CAUCHY PROBLEM FOR GR

WILL DISCUSS SOME GENERAL ISSUES, THEN EXAMINE  
THE IVP AND EP, IN TURN, AND IN SOME DETAIL

DYNAMICAL/CONSTRUCTIVE/CAUCHY APPROACH:

"UPDATE PARADIGM" USEFUL (VACUUM CASE ILL. HERE)

LET  $G(x^i, t)$  BE A COMPLETE SPECIFICATION OF THE 4-GEOMETRY AT TIME  $t$ :

$$G(x^i, t) \equiv \{ \gamma_{ij}, K^i_j; \alpha, \beta^i \} (x^k, t)$$

" "

$$G_I(x^i, t), I = 1, 2, \dots$$

"UPDATE PARADIGM"

$G(x^k, 0) :=$  INITIAL DATA

$t := 0$

DO UNTIL  $t > t_{\text{DESIRED}}$

FOR EACH CONSTITUENT FIELD  $G_I$  DO:

$$G_I(x^k, t + dt) := \text{UPDATE-}G_I[G(x^k, t)]$$

END FOR

$t := t + dt$

END DO

NOTE THAT IN THIS VIEW KINETRICAL VBL'S AS WELL AS DYNAMICAL VBL'S ARE UPDATED

IN GENERAL MANY ALTERNATIVES FOR CONSTRUCTING SUFFICIENT SET OF UPDATE OPERATORS, LARGELY DUE TO EXISTENCE OF CONSTRAINT EQNS AS WELL AS EVOLUTION EQUATIONS ("OVER-DETERMINED" SYSTEM - MORE EQNS THAN UNKNOWN'S)

## CHOICE of TOPOLOGY of $\Sigma(t_0)$

• AGAIN NOTE THAT  $G_{ab} = \text{EHT Tab}$  HAS NOTHING TO SAY ABOUT TOPOLOGY of  $\Sigma_t$  - COULD BE  $\mathbb{R}^3$ ,  $S^2$ ,  $S^2 \times \mathbb{R}$  etc.

• SQ<sup>n</sup> of CONSTRAINT EQN'S PARTICULARLY SENSITIVE TO THIS ISSUE SINCE CE'S TYPICALLY SOLVED AS BOUNDARY VALUE PROBLEM

• UNLESS OTHERWISE SPECIFIED, WE WILL RESTRICT ATTN TO  $\Sigma_t$  WITH  $\mathbb{R}^3$  TOPOLOGY, CHIEF RATIONALE: MOST LOGICALLY COMPATIBLE WITH ASYMPTOTIC FLATNESS

## ASYMPTOTIC FLATNESS (A.F.)

• INTUITIVE NOTION: METRIC TENDS TO FLAT-METRIC AT LARGE DISTANCES

• AF SPACETIMES LIKELY TO BE PARTICULARLY RELEVANT PHYSICALLY FOR STUDY OF ISOLATED SELF-GRAVITATING SYSTEMS (BUT KEEP IN MIND THAT AF ALSO LIKELY ONLY TO BE APPROXIMATE IN PRACTICE, DUE TO LARGE-SCALE [COSMOLOGICAL] CURVATURE)

• SLIGHTLY MORE PRECISE DEFIN (SEE WALD CH 12 FOR MUCH MORE RIGOR / DETAILS / POINTERS TO LITERATURE)

ASSUME WE CAN FIND CARTESIAN-LIKE SPATIAL COORDINATES  $\{x, y, z\}$ ; DEFINE  $r = (x^2 + y^2 + z^2)^{1/2}$

DEMAND THAT AS  $r \rightarrow \infty$ , HAVE

$$\gamma_{ij} = f_{ij} + h_{ij} = f_{ik} (\delta^k_j + h^k_j)$$

$$f_{ij} = \text{diag}(1, 1, 1)$$

SUCH THAT THE FOLLOWING FALL-OFF CONDITIONS ARE SATISFIED (CLAIM, AS  $r \rightarrow \infty$ )

$$h^i_j = O(r^{-1})$$

$$\partial_x h^i_j = O(r^{-2})$$

$$\partial_y h^i_j = O(r^{-2})$$

$$\partial_z h^i_j = O(r^{-2})$$

$$K^i_j = O(r^{-2})$$

OR LIE DERIVATIVE  
OF  $h^i_j$  ALONG TRANSLATIONAL KILLING VECTORS  
 $K^i_{(j)} \quad j=1,2,3 = O(r^{-2})$

$$\mathcal{L}_{K_{(j)}} h^i_j = O(r^{-2})$$

ENERGY (MASS) AND MOMENTUM (ADM)

$$E = \lim_{r \rightarrow \infty} \frac{1}{16\pi} \int D^i (h^i_j - \delta^i_j h) d^2 S_i$$

$$P_j K^i_{(j)} = \lim_{r \rightarrow \infty} \frac{1}{8\pi} \int (K^m_j - \delta^m_j K) K^i_{(j)} d^2 S_m$$

• CAN LOOSELY THINK OF  $E$  AND  $P_j$  AS COEFFICIENTS IN (ASYMPTOTIC,  $r \rightarrow \infty$ ) MULTIPOLE EXPANSION

of  $\gamma_{ij}, K^i_j$ ; SURFACE INTEGRALS AT SPATIAL INFINITY  
 "PICK-OFF" COEFFICIENTS

CONSTRAINT CONTEXTS - # of TRUE DYNAMICAL DEGREES  
 OF FREEDOM IN GEOMETRODYNAMICS

3+1 VBLS

KINEMATICAL:  $\alpha, \beta^i$  (4)

DYNAMICAL:  $\gamma_{ij}, K^i_j$  (12)

EOM

CONSTRAINT:  $R + K^2 - K^i_j K^j_i = 16\pi \rho$  (4)  
 $D_j K^i_j - D^i K = 8\pi j^i$

EVOLUTION:  $\dot{\gamma}_{ij} = \dots, \dot{K}^i_j = \dots$  (12)

CONSIDER OUR "UPDATE PARADIGM" FOR VACUUM CASE (KID REAL L.O.C.)

(1) MUST GIVE PRESCRIPTION FOR FIXING  $\alpha, \beta^i$ ;  
 WILL TREAT THIS ISSUE IN SOME DETAIL - HERE WE  
 CONSIDER SOME EXAMPLES

(a)  $\alpha(x^K, t) = 1 \quad \beta^i(x, t) = 0$

→ "SYNCHRONOUS COORDINATES": COORDINATE  
 STATIONARY OBSERVERS (CSO'S) FLOW NORMAL TO  
 HYPERSURFACES, + LABELS PROPER TIME of CSO'S  
 ⇒ CSO'S ARE GEODESIC ( $t^a = n^a$ ;  
 $a_j = n^a \nabla_a n_b$   
 $= D_b \ln \alpha = 0$ )

NOTE THAT, GIVEN A SPACETIME, WILL HAVE  
INFINITE # OF  $\{\alpha = 1, \beta^i = 0\}$  FOLIATIONS  
 SINCE THE PRESCRIPTION DOES NOT FIX AN  
 INITIAL SLICE

(b)  $\beta^i = 0$ ,  $\alpha =$  "SOMEWHAT ELSE"  $\rightarrow$  "NORMAL  
(SPATIAL) COORDINATES". HISTORICALLY, OFTEN  
 CHOSEN DUE TO SIMPLIFICATION OF EVOLUTION  
 EQUATIONS ( $\mathcal{L}_\beta$  TERMS DROP OUT)

(c)  $\alpha$  OFTEN CHOSEN VIA CONDITION ON EXTRINSIC  
 CURVATURE, ESPECIALLY ON  $\text{Tr} K = K^i_i$

RECALL EV. EQN FOR  $K^a_b$

$$\begin{aligned} \mathcal{L}_t K^a_b &= \mathcal{L}_\beta K^a_b - D^a D_b \alpha \\ &+ \alpha (R^a_b + K K^a_b + \delta_{tt} (\frac{1}{2} I^a_b (S - \rho) - S^a_b)) \end{aligned}$$

TAKE TRACE

$$(*) \quad \mathcal{L}_t K = \mathcal{L}_\beta K - D^a D_a \alpha + \alpha (R + K^2 + \delta_{tt} (\frac{1}{2} S - \frac{3}{2} \rho))$$

NOW, SUPPOSE WE DEMAND THAT

$$\text{Tr} K = K^i_i = K = K(x^k, t) = 0$$

WHICH IS CALLED MAXIMAL SLICING (SINCE  
 $K = 0 \Rightarrow$  VOLUME OF  $\Sigma$  IS MAXIMIZED W.R.T.  
 INF. DEFORMATIONS OF  $\Sigma$ )

OPERATIONALLY, THIS CAN BE IMPLEMENTED BY DEMANDING THAT

$$K(x^k, 0) = 0$$

$$\dot{K}(x^k, t) = \partial_t K(x^k, t) = \mathcal{L}_t K(x^k, t) = 0$$

FROM (\*), THIS LAST CONDITION BECOMES THE FOLLOWING ELLIPTIC P.D.E FOR  $\alpha(x^k, t)$

$$\boxed{D^a D_a \alpha = \alpha \left( R + \frac{c}{8\pi} \left( \frac{1}{2} S - \frac{3}{2} \rho \right) \right)}$$

WHICH CAN BE SOLVED AS A B.V.P. SUBJECT TO  $\alpha \rightarrow 1$  AS  $r \rightarrow \infty$  (ASYMPTOTIC FLATNESS + COORDINATE AT  $\infty$  IS NORMALIZED TO PROPER TIME)

OBSERVE THAT  $K=0$  NOT ONLY FIXES OUR FOLIATION (CHOICE OF SLICING) — ONCE SUPPLEMENTED WITH SOME INITIAL SLICE COMPATIBLE WITH  $K=0$  — IT ALLOWS US TO ELIMINATE ONE DYNAMICAL VBL FROM THE E.O.M.; FOR EXAMPLE, ASSUMING  $\{t, x^i\} = \{t, x, y, z\}$

$$K = K^x_x + K^y_y + K^z_z$$

$$K=0 \Rightarrow K^z_z = -(K^x_x + K^y_y)$$

→ CLEARLY CAN USE THIS TO ELIMINATE  $K^z_z$  FROM E.O.M.



(2) ROLE OF CONSTRAINTS VIA A VIA "UPDATE PARADIGM"

$$\text{CONSTRAINTS: } H^a \equiv (G^{ab} - 8\pi T^{ab}) n_a = 0$$

$$H^a(x^k, 0) = 0 \quad + \quad \perp (G^{ab} - 8\pi T^{ab})(x^k, t) = 0$$

$$\Rightarrow H^a(x^k, t) = 0$$

I.E. IF CONSTRAINTS HOLD AT  $t=0$ , AND EV. EQNS ARE SATISFIED EVERYWHERE THEN THE CONSTRAINTS ARE SATISFIED EVERYWHERE

\* BUT, OPERATIONALLY / CONSTRUCTIVELY COULD RESOLVE  $H^a = 0$ , AT EACH TIME STEP, FOR UP TO 4 OF  $\{ \dot{\gamma}_{ij}, \dot{K}^i_j \}$ , THUS ELIMINATING NEED FOR USE OF CORRESPONDING EV. EQNS  $\dot{\gamma}_{ij} = \dots$ ,  $\dot{K}^i_j = \dots$

↳ THUS, CAN IN PRINCIPLE USE

(1) COORDINATE FREEDOM (COORDINATE CONDITIONS)

(2) CONSTRAINT EQUATIONS

TO ELIMINATE (NEED TO USE) UP TO 8 OF THE EVOLUTION EQUATIONS

\* IN THE CASE WHERE THE MAX # (8) OF EV. EQNS

ARE ELIMINATED (WHICH MUST BE PHYSICALLY EQUIVALENT TO ANY AND ALL OTHER SCHEMES) WE ARE LEFT WITH  $12 - 8 = 4$  FIRST-ORDER EV. EQNS  $\equiv$  2 SECOND-ORDER E.O.M.

$\Rightarrow$  CR HAS 2 DYNAMICAL DEGREES OF FREEDOM

NOTE: DYNAMICAL DEGREE OF FREEDOM  $\equiv$  UNCONSTRAINED  $(q, \pi)$  PAIR; CONSTRAINTS WILL GENERALLY APPLY TO EITHER  $q$  OR  $\pi$

NOTE: TO REDUCE # OF EV EQNS REQUIRED TO MINIMUM - 4 - HAS TO USE FULL COORD FREEDOM TO ELIMINATE 4 OF  $\delta r_j, K^i_j$ ; IN GENERAL, MAY NOT WANT TO DO THIS (E.G.  $\alpha \neq 0, \beta \neq 1$ ); IN ADDITION MAY NOT WANT TO SOLVE CONSTRAINTS AT EACH TIME STEP

$\Rightarrow$  CLEARLY, MANY DISTINCT UPDATE SCHEMES WILL BE POSSIBLE IN GENERAL - ONE OF KEY FEATURES OF SOLN OF  $3+1$  EQNS

FINAL NOTE: COUNTING MODIFIED WHEN SYMMETRIES IMPOSED, E.G. SPHERICAL SYMMETRY, MOST GENERAL FORM

$$x = x(r, t) \quad \beta^i = (\beta^r(r, t), 0, 0)$$

$$\delta_{ij} = \text{diag}(a^2(r, t), r^2 b^r(r, t), r^2 b^2 \sin^2 \theta) \quad * \Rightarrow \text{NO DYNAMICAL DEGREES OF FREEDOM!}$$

$$K^i_j = \text{diag}(K^r_r(r, t), K^e_e(r, t))$$

4 EV EQNS  $(\dot{a}, \dot{b}, \dot{K}^r_r, \dot{K}^e_e)$  BUT CAN ELIMINATE 2 OF  $\{a, b, K^r_r, K^e_e\}$ , USING COORD FREE, SOLVE FOR OTHER 2 FROM CONSTRAINTS \*